



Date: October 19, 2001
File No. 0153-83085

#8
B. D.
7-30-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Narumi, et al.

Group Art Unit: 2644

Serial No.: 09/909,197

Filed: July 19, 2001

For: "Removable Power Supply for an
Audio Device"

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JUL 25 2002

COMMISSIONER FOR PATENTS
Washington D.C. 20231

Technology Center 2600

Sir:

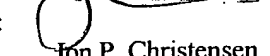
Completion of Filing Requirements

Transmitted herewith in response to the Office letter dated 08/30/01 are:

- (X) Copy of the Office letter dated 08/30/01.
- (X) Declaration or oath for this application
- () Filing fee for this application.
- (X) Assignment(s) of the invention to Audio-Technica U.S., Inc.
- () Petition for Extension of Time.
- (X) Other Express Mailing Certificate (Express Label No. EL854694571US)
- (X) Other 14 sheets of formal drawings
- (X) Other Supplemental Application Data Sheet to correct given name of Inventor Two
- (X) A check in the amount of \$170 to cover the filing fee and/or surcharge and/or assignment recording fee is enclosed.
- () A check in the amount of \$ to cover the fee for recording the assignment(s) is enclosed.
- () Charge \$ _____ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper amount be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
(312) 655-1500

WELSH & KATZ, LTD.

By: 
Jon P. Christensen
Registration No. 34,137



Certificate of Express Mailing


Express Mail mailing label number EL854694571US

Date of Deposit: October 19, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

Fred Franks

Typed or Printed Name of Person Mailing Paper of Fee


Signature of Person Mailing Paper or Fee



UNITED STATES PATENT AND TRADEMARK OFFICE

 #7
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 2023
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,197	07/19/2001	Tomoyuki Narumi	0153-83085

CONFIRMATION NO. 9733

FORMALITIES LETTER



OC00000006495677

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JUL 25 2002

Technology Center 2600

Date Mailed: 08/30/2001

 Jon P. Christensen
 Wilsh & Katz, Ltd.
 22nd Floor
 120 South Riverside Plaza
 Chicago, IL 60606

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>	Complete if Known		
	Application Number	09/909,197	
	Filing Date	July 19, 2001	
	First Named Inventor	Narumi, et al	
	Examiner Name		
	Group/Art Unit	2644	
TOTAL AMOUNT OF PAYMENT (\$)	170.00	Attorney Docket Number	0153-83085

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																												
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 23-0920 Deposit Account Name: WELSH & KATZ, LTD. <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR. 1.16 and 1.17	3. ADDITIONAL FEES																																																																																																																																																																																																																																																												
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	<table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee or oath</td><td>130.00</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English Specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a Brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr><tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="6">Other fee (specify) _____</td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>(\$)</td></tr><tr><td colspan="5">2. EXTRA CLAIM FEES</td><td></td></tr><tr><td colspan="5">Total Claims: <input type="text"/> -20** = <input type="text"/> x <input type="text"/> = <input type="text"/> Independent Claims: <input type="text"/> -3** = <input type="text"/> x <input type="text"/> = <input type="text"/> Multiple Dependent Claims: <input type="text"/> = <input type="text"/> **or number previously paid, if greater; For Reissues, see below</td><td></td></tr><tr><td colspan="5"><table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109</td><td>80</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr></tbody></table></td><td></td></tr><tr><td colspan="5">SUBTOTAL (2)</td><td>(\$)</td></tr><tr><td colspan="5">3. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/type)	Jon P. Christensen	Registration No. (Attorney/Agent)	34,137
Signature		Telephone	312-655-1500
		Date	Oct. 19, 2001

83085



2644

EB 179

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Narumi et al.

Serial No.: 09/909,197

Filed: 7/19/01

Examiner: N/A

For: **Removable Power Supply for an Audio Device**

Fax No. 703 / 746-7288

RECEIVED

JUL 25 2002

Technology Center 2600

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATION OF MAILING

I hereby certify that the following Response to Notice to File Missing Parts which was filed on October 19, 2001 is being deposited as First Class Mail with the U.S. Postal Service to the Patent and Trademark Office, Washington, DC 20231 on the date shown below:

Dated: July 10, 2002

Kim Annel

In re U.S. Patent Application
Serial No. 09/909,197
Filed July 19, 2001
Tomoyuki NARUMI et al.
"Removable Power Supply for
an Audio Device"

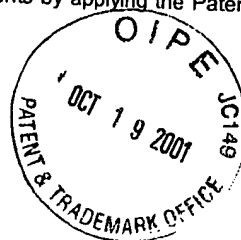
JPC/pb
Mailed Oct. 19, 2001
Docket No. 0153-83085
Express Mail No. EL854694571US

Certificate of Express Mailing; Completion of Filing Requirements; Copy of Notice to File Missing Parts dated 08/30/01; Executed Declaration; Assignment and Recordation Form Cover Sheet; 14 sheets of formal drawings; Supplemental Application data sheet; Fee transmittal sheet; fee check \$170; Postcard receipt.

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Please acknowledge receipt of the above-identified documents by applying the Patent and Trademark Office receipt hereto and mailing this card.

Respectfully,
Welsh & Katz, Ltd.



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Zip Code 60607		Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
In 10/19/01 Day Year	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ 12.45	Return Receipt Fee
17 44 AM PM	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	COD Fee	Insurance Fee
Int'l Alpha Country Code	Acceptance Clerk Initials PC	Total Postage & Fees \$ 12.45	
Weekend <input type="checkbox"/> Holiday <input type="checkbox"/>	Customer Signature		

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE

☐ WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (If delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday

Customer Copy
Label 11-F August 2000

Mailing Label
Label 11-F August 2000

F02
T22

198/ 50

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.com





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JUL 25 2002
Technology Center 2600

THIS DOCUMENT HAS A COLORED BACKGROUND, AN ULTRAVIOLET INK FEATURE AND A SIMULATED WATERMARK ON THE BACK.

WELSH & KATZ, LTD.
120 SOUTH RIVERSIDE PLAZA, 22ND FLOOR
CHICAGO, IL 60606
(312) 655-1500

THE NORTHERN TRUST COMPANY
CHICAGO, IL 60610
Payable Through
Northern Trust Bank / DuPage
Oakbrook Terrace, IL

70-2382

719

070711

DATE

10-18-2001

AMOUNT

\$170.00

***ONE HUNDRED SEVENTY AND 00/100 DOLLARS

PAY
TO THE
ORDER OF

COMMISSIONER OF PATENTS
AND TRADEMARKS

WASHINGTON, DC 20231

WELSH & KATZ, LTD.

⑈070711⑈ ⑆071923828⑆000475556⑈

WELSH & KATZ, LTD.

CHECK DATE: 10-18-2001

CHECK NO. 70711

DATE	INVOICE	VOUCHER	DESCRIPTION	NET		
10-18-2001	101801-2	142838	015383085 - pb	170.00		
VENDOR: COMMISSIONER OF PATENTS					TOTAL	\$170.00